

FORM PTO-1449

(REV. 7-89)

US. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
120787-1

SERIAL NO.

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
**LIST OF ITEMS**

Applicant: Grigorii Lev Soloveichik et al.

Filing Date

Group

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,380,907	1/10/95	Mizukami et al.		
	A2	5,856,554	1/5/99	Boysch et al.		
	A3	6,114,564	9/5/00	Pressman et al.		
	A4	6,365,538	4/2/02	Shalyaev et al.		
	A5	6,548,445	4/15/03	Buysch et al.		
	A6	6,566,295	5/20/03	Shalyaev et al.		
	A7	6,566,229	5/20/03	Spivack et al.		
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					
	A14					
	A15					
	A16					
	A17					

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	<u>TRANSLATION</u> YES NO
	B1					
	B2					
	B3					
	B4					

**OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)**

	C1	Copending US Application Serial No. 09/822,531 (Docket Rd-26,589-2), filed March 30, 2001, by Eric James Pressman et al., entitled "METHOD FOR PRODUCING AROMATIC CARBONATES".
	C2	
	C3	
	C4	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grigorii Lev Soloveichik et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For WATER RESISTANT CATALYST FOR THE  
PRODUCTION OF DIARYL CARBONATES VIA  
THE DIRECT CARBONYLATION OF PHENOLIC  
COMPOUNDS

## INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
Type or Print Name

\_\_\_\_\_  
Signature

"EXPRESS MAIL" MAILING  
LABEL NUMBER  
EV 300 76681505

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below;
- ☐ 9 <sup>or</sup> The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868


An original and two (2) copies of this document are enclosed.

Respectfully submitted,

October 10, 2003

Date

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CRD Patent Docket Rm 4A59  
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